

AMENDMENTS TO THE CLAIMS

The following is a complete listing of the claims currently pending in the Subject Application:

1. (Currently Amended) In a financial institution, a method for managing corporate action information of at least one entity, said method comprising:
 - using a computer-implemented corporate action processing system for:
 - receiving data associated with at least one corporate action of at least one of said entities;
 - matching at least a portion of said corporate action data to at least one client of the financial institution;
 - generating at least one notification including at least a portion of said corporate action data; and,
 - performing at least one workflow management activity in connection with generating said notification including said corporate action data; and,
 - electronically communicating through a network:
 - said notification to at least one recipient of said corporate action data;
 - at least one additional notification to said recipient subsequent to said
 - communicating first said notification; and,
 - said additional notification to said recipient in association with at
 - least one change made by a user to at least a portion of said corporate action data.

2. (Canceled)

3. (Currently Amended) The method of Claim 12, further comprising soliciting a response from said recipient of said notification.

4. (Currently Amended) The method of Claim 12, further comprising soliciting a response from said recipient based on a non-response of said recipient to said notification.

5. (Canceled)

6. (Canceled)

7. (Original) The method of Claim 1, further comprising generating a list of action items associated with said corporate action data.

8. (Original) The method of Claim 1, further comprising tracking at least a portion of said corporate action data for identifying at least one change in position associated with said portion of said corporate action data.

9. (Original) The method of Claim 1, further comprising generating at least one alert in response to at least one change in at least one eligible position associated with said corporate action data.

10. (Original) The method of Claim 1, wherein said corporate action data includes data associated with a voluntary corporate action.

11. (Original) The method of Claim 1, further comprising generating an end-of-the-day report for displaying actions associated with said corporate action data.

12. (Original) The method of Claim 11, further comprising grouping at least a portion of data included within said end-of-the-day report by a designation selected from the group consisting of a user name, a category, and an action type.

13. (Original) The method of Claim 1, further comprising purging at least a portion of said corporate action data, wherein said portion of said corporate action data is not associated with an eligible position of said financial institution.

14. (Original) The method of Claim 1, further comprising associating a category of incomplete notification with at least a portion of said corporate action data.

15. (Original) The method of Claim 1, further comprising designating at least a portion of said corporate action data with a status of automatically notify.

16. (Original) The method of Claim 1, further comprising designating at least a portion of said corporate action data in an aged outstanding payments category.

17. (Currently Amended) The method of Claim 16, wherein said aged outstanding payments category includes a portion of said corporate action data having a processing status one of active status and completed status, and wherein a current date is later than a predesignated expiration date associated with said portion of corporate action data, and wherein a required payment associated with said portion said corporate action data has not been received.

18. (Original) The method of Claim 1, further comprising associating a payable today category with at least a portion of said corporate action data.

19. (Original) The method of Claim 1, further comprising associating an uncovered protects category with at least a portion of said corporate action data.

20. (Original) The method of Claim 19, further comprising associating a covered protect category with at least a portion of said portion of said corporate action data included within said uncovered protects category.

21. (Original) The method of Claim 1, further comprising associating an over-committed category with at least a portion of said corporate action data.

22. (Original) The method of Claim 1, further comprising associating an under-committed category with at least a portion of said corporate action data.

23. (Original) The method of Claim 1, further comprising associating a new announcement category with at least a portion of said corporate action data.

24. (Original) The method of Claim 23, further comprising allowing automatic notification for at least a portion of said portion of said corporate action data included with said new announcement category.

25. (Original) The method of Claim 1, further comprising associating a new source category with at least a portion of said corporate action data.

26. (Original) The method of Claim 1, further comprising generating at least one master announcement summary page in association with said corporate action data.

27. (Original) The method of Claim 26, further comprising generating said master announcement summary page once said financial institution identifies the existence of at least one holder eligible to participate in connection with said corporate action data.

28. (Original) The method of Claim 26, further comprising using said master announcement summary page for reviewing at least one change to said corporate action data.

29. (Original) The method of Claim 1, further comprising viewing at least one user assigned to at least a portion of said corporate action data.

30. (Original) The method of Claim 1, further comprising associating a new holders category with at least a portion of said corporate action data.

31. (Original) The method of Claim 1, further comprising associating an associated offer category with at least a portion of said corporate action data.

32. (Original) The method of Claim 1, further comprising associating a competing offer category with at least a portion of said corporate action data.

33. (Original) The method of Claim 1, further comprising associating a not fully responded category with at least a portion of said corporate action data.

34. (Original) The method of Claim 1, further comprising logging at least one change associated with said corporate action data in an audit log.

35. (Original) The method of Claim 1, further comprising modifying at least one entitlement associated with at least a portion of said corporate action data.

36. (Original) The method of Claim 1, further comprising displaying holder information associated with said corporate action data.

37. (Original) The method of Claim 36, further comprising generating a notification for communication to at least one holder in association with said holder information.

38. (Original) The method of Claim 1, further comprising displaying a position status associated with at least a portion of said corporate action data.

39. (Original) The method of Claim 1, further comprising displaying a response status associated with at least a portion of said corporate action data.

40. (Original) The method of Claim 1, further comprising generating a notification history in association with at least a portion of said corporate action data.

41. (Currently Amended) In a financial institution, a system for managing corporate action information of at least one entity, said system comprising:

at least one server configured for receiving data associated with at least one corporate action of at least one of said entities;

at least one software module configured for matching at least a portion of said corporate action data to at least one client of the financial institution;

at least one software module configured for generating at least one notification including at least a portion of said corporate action data; ~~and,~~

at least one software module configured for performing at least one workflow management activity in connection with generating said notification including said corporate action data; and

said server configured for:

communicating said notification to at least one recipient of said corporate

action data;

communicating at least one additional notification to said recipient

subsequent to said communicating first said notification; and

communicating said additional notification to said recipient in association

with at least one change made by a user to at least a portion of said corporate action data,

wherein said change made by said user comprises an update to first said notification and is

ranked based on the importance of said update; and,

at least one software module configured for generating a master announcement

summary page for reviewing said change to said corporate action data.

42. (Currently Amended) In a financial institution, a computer-readable medium including instructions for performing a method for managing corporate action information of at least one entity, said medium comprising:

instructions for receiving data associated with at least one corporate action of at least one of said entities;

instructions for matching at least a portion of said corporate action data to at least one client of the financial institution;

instructions for generating at least one notification including at least a portion of said corporate action data; and,

instructions for performing at least one workflow management activity in connection with execution of said instructions for generating said notification including said corporate action data;

instructions for communicating said notification to at least one recipient of said corporate action data;

instructions for communicating at least one additional notification to said recipient subsequent to said communicating first said notification;

instructions for communicating said additional notification to said recipient in association with at least one change made by a user to at least a portion of said corporate action data, wherein said change made by said user comprises an update to first said notification and is ranked based on the importance of said update; and,

instructions for providing a master announcement summary page for reviewing said change to said corporate action data.